



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Weaver, Donald F. *et al.*

Serial No.: 09/932677

Filed: August 16, 2001

For: *ANTI-EPILEPTOGENIC AGENTS*

Attorney Docket No.: NCI-006DV1

Group Art Unit: 1624

Examiner: Rao, Deepak R.

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Signature [Signature]

Elizabeth A. Hanley, Esq., Reg. No. 33,505, Attorney for Applicants  
Please Print Name of Person Signing

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

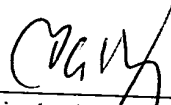
Applicants and their Attorney have become aware of the following publications and information, cited in an International Search Report mailed October 7, 2003 during the prosecution of PCT/CA02/00363 which corresponds to the above referenced application. In accordance with 37 CFR §1.97, Applicants hereby submit these publications for the Examiner's consideration. These publications are cited on the enclosed PTO Form SB/08, and a copy of the Report and each publication cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other

relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(d), the undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was received by the undersigned on October 15, 2003 (not more than three months prior to the filing of this statement) from the Canadian associate that received the references in a communication from a foreign patent office in a counterpart foreign application on October 7, 2003. In accordance with 37 CFR §1.97(c)(2) and §1.17(p), please charge the \$180.00 submission fee to our Deposit Order Account No. 12-0080. Please charge any necessary additional fees or credit any overpayments to our Deposit Order Account No. 12-0080.

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

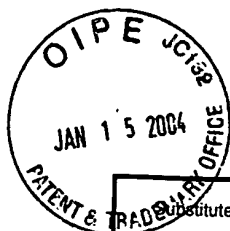


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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                   |
|   |   |    |   | Application Number       | 09/932677         |
| Sheet   | 1 | of | 1 | Filing Date              | August 16, 2001   |
|   |   |    |   | First Named Inventor     | Weaver, Donald F. |
|   |   |    |   | Art Unit                 | 1624              |
|   |   |    |   | Examiner Name            | Rao, Deepak R.    |
|   |   |    |   | Attorney Docket Number   | NCI-006DV1        |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          |  |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                          | D1                       | WO 98/40055 A2, A3  | 09-17-1998                        | QUEEN'S UNIVERSITY AT<br>KINGSTON [CA/CA]          |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |  |  |  |  |                |
|---------------------------------|--------------------------|--|--|--|--|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  |  |  |  | T <sup>2</sup> |
|                                 |                          |  |  |  |  |                |
|                                 | D2                       | Bigge, C.F. <i>et al.</i> "Synthesis of 1,4,7,8,9,10-hexahydro-9-methyl-6-nitropyrido[3,4-f]-quinoxaline-2,3-dione and related quinoxalinediones: characterization of $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (and <i>N</i> -methyl-D-aspartate) receptor and anticonvulsant activity." <i>J. Med. Chem.</i> 38:3720-3740 (1995). |  |  |  |                |
|                                 | D3                       | Ho, B. <i>et al.</i> "Synthesis and structure-activity relationships of potential anticonvulsants based on 2-piperidinecarboxylic acid and related pharmacophores." <i>Eur. J. Med. Chem.</i> 36:265-286 (2001)  |  |  |  |                |
|                                 | D4                       | Ling, R. <i>et al.</i> "Synthesis of 4-alkyl-pyrrolidine-3-carboxylic acid stereoisomers." <i>Tetrahedron</i> 57:6579-6588 (2001)  |  |  |  |                |
|                                 |                          |  |  |  |  |                |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|